

RETROSPECTIVE REVIEW PROGRESS REPORT
Social Security Administration
July 2016

Regulatory Initiatives

Medical Listings Description

- The Listing of Impairments describes impairments for each of the major body systems we consider severe enough to prevent an individual from performing any gainful activity, regardless of age, education, or work experience.
- We currently maintain listings for 15 body systems.
- We update the listings to keep pace with medicine, science, technology, and the world of work. We are on a multi-year cycle to update each of our listings on an ongoing basis. During each cycle, we seek input from medical experts and other stakeholders to gather the most current information.
- Since 2004, we have updated approximately 80 percent of the listings and continue to move forward with proposed or final revisions in the Federal Register for all listings.
- During this Administration, we have completed revisions for 12 body systems.
- In the remainder of 2016, we intend to update 2 additional body systems.
- Per prior discussions with OMB, this report no longer reflects those listings that we recently updated via Final Rule and will not update again in the near future (Genitourinary Disorders; Growth Disorders and Weight Loss in Children; Hematological Disorders; Malignant Neoplastic Disorders).

Other Regulatory Initiatives

- Revising the Ticket to Work Program Rules – This initiative was new to the January 2016 update.
- Social Security Number Card Applications (electronic) – This regulation authorizing this initiative became effective in late 2015. The initiative itself will be fully implemented in phases over several years.

NOTE: Items in italics were new to the January 2016 Retrospective Review Report.

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Agency	Sub-agency	Title Of Initiative/Rule or ICR	RIN/OMB Control Number 0960-	Summary of Initiative	Status of Initiative	Target Completion Date	Does the Initiative include regulatory flexibilities?	What methods will you engage in to Identify Improvements?	Anticipated or realized savings in costs &/or burdens and anticipated or realized changes in benefits
SSA	NA	Revising the Ticket to Work Program Rules <i>(in January 2016 Retrospective Report, pre-publication, called Adequacy of Incentives for Beneficiaries Participating in the Ticket to Work Program to Seek and Achieve Self-Sufficiency Through Earnings)</i>	AH50	<i>The Ticket to Work and Work Incentives Improvement Act of 1999 established the Ticket to Work and Self-Sufficiency Program to “allow individuals with disabilities to seek the services necessary to obtain and retain employment and reduce their dependency on cash benefit programs” (Public Law 106-170 § 2(b)(4)). We last updated the program rules on May 20, 2008.</i> <i>On February 10, 2016 (80 Fed Reg 66843), SSA published an Advance Notice of Proposed Rulemaking (ANPRM) to solicit information on the Ticket to Work program’s current rules. The public comment period closed April 10, 2016. We are currently evaluating these comments, and exploring how we can use them to develop rules that offer greater flexibility and, ultimately, encourage increased beneficiary enrollment in the Ticket to Work program.</i>	Complete	<i>ANPRM published 2/10/16</i> <i>Public comment period closed 4/10/16</i>	NA	ANPRM 2/10/16 <i>We invited public comment, and will use the suggestions submitted to identify areas in the Ticket to Work program rules.</i> <i>If we pursue the rulemaking progress to improve the current rules, we will also solicit public comments through a Notice of Proposed Rulemaking.</i>	<i>To be determined</i>
SSA	NA	Revised Medical Criteria for Evaluating Neurological Impairments	AF35	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Complete	<i>Final Rule Published 7/1/16</i>	NA	ANPRM 04/13/05 NPRM 02/25/14 FR 7/1/16 • Policy conference July 28, 2005	<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • Negligible SSA budget effect
SSA	NA	Revised Medical Criteria for Evaluating Respiratory System Disorders	AF58	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Complete	<i>Final Rule published 6/9/16</i>	NA	ANPRM 04/13/05 NPRM 02/04/13 FR 06/9/16 • Policy conference August 25-26, 2005	<ul style="list-style-type: none"> • \$182m net decrease of OASDI benefits FY 2017-2026 • \$42m net decrease in Federal SSI benefits FY 2017-2026 • Negligible SSA budget effect

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SSA	NA	Revised Medical Criteria for Evaluating Mental Disorders	AF69	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	08/2016	NA	<p align="center">ANPRM 03/17/03 NPRM 11/24/10</p> <ul style="list-style-type: none"> • Commissioned National Research Council report, "Mental Retardation: Determining Eligibility for Social Security Benefits" • Policy conference September 23 and 24, 2003 • Commissioned Institutes of Medicine (IOM) Report on children with mental disorders • Commissioned IOM Report on psychological testing 	To be determined
SSA	NA	<i>Revised Medical Criteria for Evaluating Digestive Disorders</i>	AG65	<i>Analyze existing medical listings to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.</i>	<i>Ongoing</i>	<i>NPRM 2017</i>	<i>N/A</i>	<p align="center"><i>ANPRM 12/12/07;</i></p> <p align="center"><i>We will invite public comment through an NPRM.</i></p>	<i>To be determined</i>
SSA	NA	<i>Revised Medical Criteria for Evaluating Musculoskeletal Disorders</i>	AG38	<i>Analyze existing medical listings to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.</i>	<i>Ongoing</i>	<i>NPRM 2017</i>	<i>N/A</i>	<p align="center"><i>We will invite public comment through an NPRM.</i></p>	<i>To be determined</i>
SSA	NA	Revised Medical Criteria for Evaluating Human Immunodeficiency Virus (HIV) Infection and for Evaluating Functional Limitations in Immune System Disorders	AG67	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	10/2016	NA	<p align="center">ANPRM 03/18/08 NPRM 02/26/14</p> <ul style="list-style-type: none"> • Commissioned IOM report, "HIV and Disability: Updating the Social Security Listings" • Policy conference September 10, 2008 	To be determined

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SSA	NA	New Medical Criteria for Evaluating Language and Speech Disorders	AG21	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 2017</i>	NA	<p style="text-align: center;">ANPRM 04/13/05 ANPRM 02/06/12</p> <ul style="list-style-type: none"> • Commissioned IOM Report on children with speech and language disorders • IOM Committee on the Evaluation of the Supplemental Security Income (SSI) Program for Children with Speech Disorders and Language Disorders Workshop March 9, 2015 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Cardiovascular Disorders	AG74	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 2017</i>	NA	<p style="text-align: center;">ANPRM 04/16/08</p> <ul style="list-style-type: none"> • Commissioned IOM Report, "Cardiovascular Disability: Updating the Social Security Listings" • <i>Policy conference September 24-25, 2008</i> 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Hearing Loss and Disturbances of Labyrinthine: Vestibular Function	AH54	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 2017</i>	NA	<p style="text-align: center;">ANPRM 04/13/05 ANPRM 08/30/13</p> <ul style="list-style-type: none"> • Policy conference November 7-8, 2005 	To be determined
SSA	NA	Revised Medical Criteria for Evaluating Skin Disorders	AG91	Analyze existing medical listing to determine whether the standards remain justified or should be modified in light of advances in medical knowledge and technology, our adjudicative experience, and the opinions of medical experts and the public.	Ongoing	<i>NPRM 2017</i>	NA	ANPRM 11/10/09	To be determined

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SSA	NA	Social Security Number Card Applications	AH68	<p>Before publication of this rule, Social Security Number (SSN) holders could only apply for a replacement SSN card by submitting a completed paper form and supporting documentary evidence to a field office or Social Security Card Center, or by applying in-person at a field office.</p> <p>iSSNRC, a new online application that allows certain members of the public to apply for replacement SSN cards electronically without having to visit an office or mail in an application and supporting evidence, was available for use beginning in November 2015.</p> <p>iSSNRC was made possible by publication of the Social Security Number Card Applications rule, which modified existing regulations to allow a U.S. citizen who met certain criteria to file for an SSN replacement card online after registering through the <i>my Social Security</i> portal. These criteria included: 1) possession of a U.S. mailing address; 2) issuance of a valid U.S. state-issued driver's license or identity card; and 3) an application for a replacement card with no changes to the SSN holder's previous card. During the application process, we securely collect and verify required information electronically and analyze each request for potential fraud.</p>	<i>Completed</i>	<i>Final Rule Published 8/10/15 80 FR 47831</i>	NA		<ul style="list-style-type: none"> • No effect on OASDI benefits • No effect on Federal SSI benefits • We anticipate a significant savings in both time and cost. Replacement Social Security number cards is one of our most requested field office services. We issued over 10 million cards in 2013 with each request taking an average of 14 minutes to process. Our current estimated savings to the agency over a 5-year period is \$78,000,000.

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Paperwork and Reporting Burden Reduction Initiatives

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SSA	NA	Social Security Benefits Application (iClaim Enhancements)	0618	We continue to enhance iClaim to increase ease and efficiency. Future changes include: 1) streamlining the Internet Disability Application for all disability applicants; 2) enhancing fraud reduction activities; 3) updating International Direct Deposit; and 4) implementing a global transfer table to transfer iClaim from the workload support unit to local field offices.	Ongoing	2016	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	23 burden hours eliminated to date
SSA	NA	Representative Payee Report (Adult, Child, and Organizational Representative Payee) (eAuthorization)	0623	We continue to expand eAuthorization to transactions involving third parties and childhood claims. We intend to use our Public Credentialing and Authentication Process (OMB No. 0960-0789) for eAuthorization, which will allow for the authentication and verification of third parties. This would allow us to verify the identity of the parents and guardians of claimants when they electronically sign the application, greatly improving ease and efficiency.	Ongoing	Ongoing	No	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	39,265 burden hours eliminated to date
SSA	NA	Internet SSN Replacement Card (iSSNRC) Application	0066	In November 2015, SSA began rollout of iSSNRC (approved by OMB on August 14, 2015), a new online tool. This new electronic modality allows certain applicants to apply for a replacement SSN card by completing a prescribed Internet application and submitting the required evidence completely online, rather than completing the paper Form SS-5. This new modality will increase efficiency and ease of completing the application for a replacement card, and will reduce the public reporting burden for applicants eligible to use iSSNRC. In addition, it will decrease traffic in our field offices.	Ongoing	2020	Yes	<ul style="list-style-type: none"> • Public Comment • Internal Analysis • Routine Maintenance 	437,500 burden hours eliminated to date

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Totals									476,788 burden hours eliminated